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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

JC986 U.S. PTO
09/18/01
06/08/01

PATENT APPLICATION

Inventor(s): Frederick Douglass et al.

Docket No.: 2000-0351

Application No.:

Filing Date:

Examiner Name:

Group Art Unit:

Title: METHOD FOR CONTENT DISTRIBUTION IN A NETWORK SUPPORTING A SECURITY
PROTOCOL

**COMMISSIONER FOR PATENTS
PATENT & TRADEMARK OFFICE
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SIR:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of the entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under § 1.114. No certification or fee is required. (37 C.F.R. § 1.97(b)).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under § 1.53(d); more than three months after the date of the entry of the national stage as set forth in § 1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under § 1.114, but before the mailing date of any of a Final action under § 1.113, or a notice of allowance under § 1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. § 1.97(c)).
- ☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart

foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(1)).

☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97 (e)(2)).

☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. § 1.17(p) and to ensure consideration of the disclosed information.

☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under § 1.113, a Notice of Allowance under § 1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. § 1.97(d)).

☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. § 1.97(e)(1)).

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Respectfully,

Date: 06/07/2001

AT&T Corp.
P. O. Box 4110
Middletown, New Jersey 07748

By: 

Benjamin S. Lee, Attorney
Reg. No.: 42787
T: 908-221-5438
F: 732-368-6932

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as (necessary))				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	Frederick Douglass et al.
				Group Art Unit	
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	2000-0351

UC986 U.S. PTO
09/877977
06/08/01

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears
		Number	Kind Code ² (if known)			
	AA	5,657,390		Elgamal et al.	08/12/1997	
	BB	6,108,703		Leighton et al.	08/22/2000	
	CC	6,185,598		Farber et al.	02/06/2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appears	T ⁶
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				Application Number	
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Examiner Name		JC986 U.S. PTO 09/877977 06/08/01			
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Sheet	2	of	2		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article(when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	DD	R. Fielding et al., "Hypertext Transfer Protocol -- HTTP/1.1," IETF RFC 2616, Network Working Group, 1999	<input type="checkbox"/>	
	EE	Freier et al., "The SSL Protocol Version 3.0," IETF Internet Draft, Netscape Communications, http://home.netscape.com/eng/ssl3/index.html	<input type="checkbox"/>	
	FF	E. Rescorla et al., "The Secure HyperText Transfer Protocol," RFC 2660, IETF Network Working Group (August 1990)	<input type="checkbox"/>	
	GG	J. Postel, "User Datagram Protocol," RFC 768, IETF (August 1980)	<input type="checkbox"/>	
	HH	S. Shepler et al., "NFS Version 4 Protocol," RFC 3010, IETF Network Working Group (December 2000)	<input type="checkbox"/>	
	II	V. Pai et al., "Locality Aware Request Distribution in Cluster Based Network Servers," In Proceedings of the Eighth International Conference on Architectural Support for Programming Languages and Operating Systems, ACM, San Jose, CA, October 1998.	<input type="checkbox"/>	

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